

PATENT
Docket No. 300622004620

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Vicki Andrews
Vicki Andrews

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Rajesh S. GOKHALE et al.

Serial No.: To Be Assigned

Filing Date: Herewith

For: METHODS TO MEDIATE
POLYKETIDE SYNTHASE MODULE
EFFECTIVENESS

Examiner: To Be Assigned

Group Art Unit: To Be Assigned

J1046 U.S. PTO
10/091244
03/04/02

INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/500,747, filed February 9, 2000, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This application claims the benefit of the filing date of provisional application serial number 60 119,363, filed February 9, 1999.

This Information Disclosure Statement is submitted:

- ☒ With the application; accordingly, no fee or separate requirements are required.
- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 300622004620. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: March 4, 2002

Respectfully submitted,

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number 300622004620	Application Number To Be Assigned
	Applicant Rajesh S. GOKHALE et al	
	Filing Date Herewith	Group Art Unit To Be Assigned
	Mailing Date March 1, 2002	

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	11/1985	4,551,433	DeBoer	435	253	
	2.	10/1989	4,874,748	Katz <i>et al.</i>	514	29	
	3.	06/1990	4,935,340	Baltz <i>et al.</i>			
	4.	11/1991	5,063,155	Cox <i>et al.</i>	435	76	
	5.	03/1992	5,098,837	Beckmann <i>et al.</i>	435	172.3	
	6.	09/1992	5,149,639	Katz <i>et al.</i>	435	76	
	7.	12/1992	5,168,052	Cox <i>et al.</i>	435	72	
	8.	10/1993	5,252,474	Gewain <i>et al.</i>	435	172.3	
	9.	12/1995	5,475,099	Knauf <i>et al.</i>			
	10.	05/1996	5,514,544	Rao <i>et al.</i>	435	6	
	11.	09/1997	5,672,491	Khosla <i>et al.</i>	435	148	
	12.	01/1998	5,712,146	Khosla <i>et al.</i>	435	252.35	
	13.	10/1998	5,824,513	Katz	435	76	
	14.	11/1998	5,830,750	Khosla <i>et al.</i>	435	252.35	
	15.	12/1998	5,843,718	Khosla <i>et al.</i>	435	69.1	
	16.	12/1999	6,004,787	Katz <i>et al.</i>	435	183	
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	19.	05/2000	6,066,721	Khosla <i>et al.</i>			
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	22.	08/2001	6,271,255	Leadlay <i>et al.</i>	514	450	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
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EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary.)</i>	Docket Number 300622004620	Application Number To Be Assigned
	Applicant Rajesh S. GOKHALE et al	
	Filing Date Herewith	Group/Art Unit To Be Assigned
	Mailing Date March 2002	

	23.	07/1993	WO 93/13663	WIPO				
	24.	03/1995	WO 95/08548	WIPO				
	25.	12/1996	WO 96/40968	WIPO				
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	28.	01/1998	WO 98/01546	WIPO				
	29.	01/1998	WO 98/01571	WIPO				
	30.	06/1998	WO 98/27203	WIPO				
	31.	11/1998	WO 98/49315	WIPO				
	32.	12/1998	WO 98/54308	WIPO				
	33.	01/2000	WO 00/01838	WIPO				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

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	Applicant Rakesh S. GOKHALE et al.	
	Filing Date Herewith	Group Art Unit To Be Assigned
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53	Davis et al., Abst. of the Genetics of Industrial Microorganisms Mtg. (1994) P288:192
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